



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | PLICATION OF: Rozier, et al. |) (| GROUP ART UNIT: 3764 |
|-----------|--|-------------|--|
| SERIAL N | NUMBER: 10/046,800 |)] | EXAMINER: |
| FILED: Ja | nuary 15, 2002 |))) | CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" mailing label number EL 7442 37336 US Date of Deposit: April 23, 2002 I hereby certify that these papers or fees are being delivered |
| TITLE: | Site Guard for Intravenous Sites & Other Sensitive Areas |) | to the U.S. Patent and Trademark Office, Washington, DC 20231 Tim Hubalik (typed or printed name of person delivering paper or fee) (signature of person delivering paper or fee) |

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. §1.97(B)

Assistant Commissioner for Patents Washington, DC 20231

Dear Examiner:

The following information is submitted pursuant to 37 CFR §§ 1.97(b) and 1.98 in accordance with Applicants' duty of disclosure under 37 CFR § 1.56(a). This submission is being filed before the mailing date of the first Office Action on the merits. This submission is not intended to constitute an admission that any patent, publication or other information cited herein is "prior art" as to the invention claimed. In accordance with 37 CFR §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other material information as defined by 37 CFR § 1.56(a) exists.

Applicants' submit herewith Form PTO 1449 listing the references cited in this Information Disclosure Statement. Applicants' respectfully request that the Examiner (1) initial

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each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application, and (2) return a copy of the initialed Form PTO 1449 to Applicant. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, we reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Under 37 CFR § 1.97(b), Applicants believe no fee is due with this submission.

However, if there are any fees due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 13-0019.

IV House, Inc.

By: MAYER, BROWN, ROWE & MAW

By: Christine M. Rebman (50,546)

Dated: April 23, 2002

MAYER, BROWN, ROWE & MAW P.O. Box 2828 Chicago, IL 60609-2828 (312) 701-7174



Docket No. 02936979

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re U.S. Patent Application of: | |) | | CERTIFICATE OF MAILING BY "EXPRESS |
|-----------------------------------|--|-------|----------------------|--|
| | B. Rozier, et al. |) | | MAIL" "Express Mail" mailing label number |
| Serial No.: | 10/046,800 |) | Examiner: | Date of Deposit: April 23, 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service |
| Filed: | January 15, 2002 |) | Group Art Unit: 3764 | "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to U.S. Patent and Trademark Office |
| For: | Site Guard for Intravenous Sites & Other Sensitive Areas |))) | | Washington, DC 20231 Tim Hubalik (typed or printed name of person mailing papor fee) (signature of person mailing paper or fee) |

TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, DC 20231

Dear Examiner:

Enclosed herewith is the following for the above-captioned case:

- 1. Information Disclosure Statement;
- 2. 1449 Form;
- 3. One copy of 22 references, including a copy of each U.S. and foreign patent, and each publication or that portion of the publication which caused it to be listed;
- 4. Return-receipt postcard.

The Commissioner is hereby authorized to charge any additional filing fees required under Rule 1.17 concerning this transaction, or to credit any overpayment to Deposit Account 13-0019.

Respectfully submitted,

By: MAYER, BROWN, ROWE & MAW

By: Christine M. Rebman (Reg. No. 50,546)

Dated: April 23, 2002 MAYER, BROWN, ROWE & MAW P.O. Box 2828 Chicago, IL 60609-2828 (312) 701-7174 APR 2 3 2002

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

| Complete if Known | | | | |
|------------------------|------------|--|--|--|
| Application Number | 10/046.800 | | | |
| Filing Date | 01/15/2002 | | | |
| First Named Inventor | B. Rozier | | | |
| Art Unit | 3764 | | | |
| Examiner Name | | | | |
| Attorney Docket Number | 02936979 | | | |

| | , | | U.S. PAT | ENT DOCUMENTS | |
|-----------------------|----------|---|-----------------------------|--|---|
| Examiner Initials* | | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages. Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1 | us-3782378 | 01/01/1974 | Page | |
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| Examiner Initials* | No.1 | Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (# known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | 16 | WO 87/06474 | 11/05/1987 | Safadago | | |
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| Examiner | Date | · · |
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| Signature | | i |
| G.31.51510 | Considered | |

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.usplo.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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TRADEN Complete if Known **Application Number** 10/046,800 INFORMATION DISCLOSURE 01/15/2002 Filing Date STATEMENT BY APPLICANT First Named Inventor B. Rozier Group Art Unit 3764

(use as many sheets as necessary)

of Sheet 2

Examiner Name Attorney Docket Number 02936979

| | | NON PATENT LITERATURE DOCUMENTS | | | |
|--------------------|---|---|-----|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² | | |
| | 17 | I.V. House Brochure - "Protection Over and Above." | | | |
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| | "Nasal Dressing Holder Saves Nurses Time and Adds to Patient Comfort," RN, 19 pg. 77 (July 1994). | | | | |
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| Examiner | Date | |
| Signature | Considered | |

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.